# AUTOMATICALLY TRANSCRIBING MEETINGS USING DISTANT MICROPHONES

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# ABSTRACT

In this paper, we describe our efforts to develop acoustic models and decoding setups suitable for automatic speech recognition using distant microphones. Our goal is to investigate, how the performance of a system trained on a combination of close-talking and distant microphone data can be optimized, while assuming as little information about the configuration of (multiple) distant microphones as possible, to avoid guesstimates and lengthy calibration runs.

We evaluated our system in NIST's RT-04S "Meeting" speechto-text evaluation, where speech data was recorded at several sites with a varying number of different microphones, but not with genuine microphone arrays. Body-mounted microphones provide baseline numbers for distant ASR performance and allow for comparisons of meeting speech with other spontaneous speech data.

# 1. INTRODUCTION

An important effort in current speech research is focused on the processing of speech from natural multi-party interaction, aka "Meetings", which presents a number of new challenges in terms of style (highly interactive), segmentation (overlapping) as well as varying recording condition(s). Data gathered during meetings provides an interesting testbed for work on robust automatic speech recognition, speaker detection, segmentation and tracking, discourse modeling, and many more. Ideally, automatic systems working on these tasks operate on data recorded from distant microphones, freeing users from the need to wear body-mounted microphones. As microphone arrays will not be available in many real-world cases, research should investigate speech recorded through room microphones, which could for example be built into hands-free telephone sets or other mobile units. Ad-hoc networks of these devices could be rapidly assembled for the transcription of one particular meeting and re-configured for the next meeting.

In this paper, we present the current Interactive Systems Lab's speech-to-text system for "Meeting"-type speech, which was evaluated in NIST's RT-04S "Meeting" evaluation [1, 2, 3]. The focus of this paper is on system design and experiments using (multiple) distant microphones. For this case, we compare two approaches to combining the information from several channels.

# 2. THE "MEETING" SCENARIO AND DATA

"Meeting" data used in this work mainly consists of group meetings in a professional or research environment, where participants were usually seated around a table. As the meetings occured naturally, they contain spontaneous effects and sloppy speech, although Yue Pan, Alex Waibel

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the amount varies among the four collection sites CMU, ICSI, LDC, and NIST.

### 2.1. Training Data

Training data was available from three sites in 16kHz, 16bit quality, see table 1. The CMU data was recorded with lapel microphones, while the other groups used head-sets. Although the layout differed between sites, the distant microphones were generally of table-top, omni-directional type roughly distributed along an axis on the middle of the conference table. The NIST data contains directional microphones as well

Corpus	Duration	# Meetings	# Speakers	# Dist. Mics
CMU	11h	21	93	0
ICSI	72h	75	455	4
NIST	13h	15	77	7

**Table 1**. Meeting training data: all data sets contain recordings of individual speakers with personal microphones in addition to the above number of distant microphone recordings.

Pointers to these corpora as well as descriptions of their properties are available on the RT-04S web-site [1], the data is available through LDC. For training our recognizer, we merged these corpora with 180h of Broadcast News data from the 1996 and 1997 training sets. For language modeling, we also added the transcriptions for 360h of Switchboard data from phases I, II, "Cellphone" and "C-Tran".

### 2.2. Development and Test Data

Three evaluation conditions using different amounts of information were defined for RT-04S meeting data:

**MDM** Multiple Distant Microphones (primary)

SDM Single Distant Microphone (optional)

IHM Individual Head-set Microphone (required contrast)

Development data for the RT-04S evaluation consisted of 10minute excerpts of eight meetings, two per site. Eight 11-minute excerpts of different meetings (two per site) were used for evaluation. Each meeting has between three and ten participants while the number of distant channels varied between one (CMU) and ten (some LDC meetings).

For the distant microphone conditions, crosstalk regions, roughly three quarters of the data, are labeled in the reference transcriptions and excluded from scoring. No attribution of word tokens to speakers is required. The manual segmentation was derived from these transcriptions and the resulting segments only contain non-crosstalk regions. The SDM condition can be derived from the MDM condition by disregarding all but one "central" distant channel for every meeting. Using this channel however did not necessarily result in the lowest possible single-channel word error rate.

### 3. SYSTEM DESIGN

#### 3.1. Automatic Segmentation and Clustering

Speaker segmentation and clustering consists of identifying who spoke when in a long meeting conversation. Ideally, the process will discover how many people are involved in the meeting, and output clusters corresponding to an unique speaker each. This information is needed for speaker adaptation in multi-pass decoding as well as higher-level processing. Our system uses a hierarchical, agglomerative clustering algorithm [4], we use the same segmentation for SDM and MDM conditions, based on a single channel channel only.

#### 3.2. Language Model Training

Language Model	Overall	CMU	ICSI	LDC	NIST
SWB-3G	54.8	65.0	47.1	57.4	54.3
Meeting-3G	53.4	64.9	41.3	60.7	53.4
Merged-3G	52.4	63.7	42.6	55.9	53.4
3-fold Interpolated	51.6	63.7	41.5	55.8	51.4

 
 Table 2. Language Model development: word error rate in percent on "SDM" condition using baseline Switchboard acoustic models.

Language models are described in [2] and table 2. We trained a standard 3-gram LM and a 5-gram LM with  $\sim$ 800 automatically deduced classes on a mixture of the Switchboard and Meeting transcriptions, as we considered these to be similar in style. We also trained a 4-gram Broadcast News LM. All LMs were computed over a vocabulary of  $\sim$ 47k words, which resulted in an OOV rate of 0.6% on the development set. Distant speech decodings were run with the merged 3-gram LM. Confusion Network generation/ combination passes use a context-dependent interpolation of all three LMs, which was also directly used in the IHM decodings. The perplexity on the development set of the 3-fold interpolated LM was 112.

# 3.3. Acoustic Model Training

The 16kHz recognizers used in these experiments work use the Janus recognizer and the Ibis single-pass decoder in a 42-dimensional feature space based on MFCCs with CMS and CVN applied on a per-utterance basis. We use a  $\pm 7$  frames context window before applying separate LDA and global STC transforms [5]. No specific noise-filtering has been employed for distant data.

Our first experiments were run with a 2k codebooks, 6k distribution, 100k diagonal Gaussians system trained on BN96 training data only. Initial word error rate on Meeting data ("SDM" condition, i.e. one, central channel only; manual segmentation) is 62.8% with VTLN, using both model-space and feature-space MLLR we reach 59.9%.

Experiments with the "Switchboard" recognizer were conducted with a simplified, 3-pass version of ISL's system described in [6], which reaches a word error rate of 25.0% on the RT-03S "Switchboard" test set. For the Meeting experiments, speech was down-sampled and passed through a telephony filter. A first-pass decoding using completely unadapted models results in a word error rate of 64.2%, a VTLN system adapted with both model-space and feature-space MLLR reaches 56.4% word error rate.

Using cross-adaptation between the two systems, it was possible to reduce the error rate to 52.3%, using the Switchboard system for the final pass.

As our Switchboard system had been trained on  $\sim$ 360h of telephony speech only and the combination of BN and Meeting data would yield  $\sim$ 300h of close-talking or BN speech plus about the same amount of in-domain distant speech, we decided to re-train a 16 kHz system from scratch.

Training	Pooled	BN96/97	ICSI	CMU	NIST
Test (%WER)		(180h)	(75h)	(11h)	(13h)
CMU	72.3	71.9	70.6	71.9	74.0
ICSI	60.2	62.2	59.9	63.0	67.2
LDC	67.9	68.2	69.1	71.8	76.6
NIST	71.4	72.7	75.2	72.9	75.8
Overall	66.7	67.5	67.2	68.9	72.6

**Table 3.** Results of training a "SDM" system on the different data sets: pooling BN and Meeting data improves robustness.

To see if merging the data was indeed a viable approach, we trained simple systems of equal size on different portions of closetalking data and tested these on the central channel of the distant Meeting development test. Results are summarized in table 3. It is interesting to note that the "CMU" system performs better on the distant data than the "NIST" system with also little training data. We attribute this effect to the use of lapel microphones, which capture more room acoustics.

Two extra iterations of Viterbi training of the "ICSI"-trained system on all four high-quality channels of the ICSI distant microphone data resulted in a word error rate of 62.5%, an improvement of 4.7% absolute. Employing feature space normalization (constrained MLLR) [7] and VTLN during testing only reaches 58.6%. Alternatively we performed a combination of channel-adaptive (CAT) and speaker-adaptive (SAT) training also using constrained MLLR [8], by estimating a separate normalization matrix for every speaker and every recording channel. This resulted in a word error rate of 54.5%, which is a 8.0% absolute (13% relative) gain. Performing SAT alone on the close-talking data did not significantly decrease word error rate. Estimating the adaptation parameters of the SAT/CAT system on the previously best hypotheses (52.3% of the SWB system) yields an error rate of 51.4% with roughly a third of the parameters.

As a next step, we re-trained the context decision tree on the combined data sets, increased the model complexity to 6k codebooks, 24k distributions,  $\sim$ 300k Gaussians assigned by Mergeand-Split training while also re-training STC. Re-running the close-talking and distant speech training with the large system on all data sets reduced the error rate by an extra 3.5% absolute.

The experiments reported so far were run and scored on a prerelease of the official RT-04S development data set, which could not accomodate the Multiple Distant Microphone (MDM) condition. Due to changes to both transcripts and data, absolute error rate cannot be compared before and after this point; quantitative assessments of different methods' merits however are unaffected and valid.

### 4. RESULTS

### 4.1. Individual Microphones

For comparison, we also report results for our close-talking system. For the IPM condition, we used Switchboard acoustic models together with close-talking Meeting models in an interleaved adaptation scheme. Starting at a word error rate of 39.6% (43.6% for automatic segmentation), adaptation reduces WER to 28.0% (35.3%). Confusion Networks [9] were generated on the union of lattices computed in different adaptation passes to further reduce WER to 28.0% (32.7%) [2] ("Confusion Network Combination", CNC).

### 4.2. Single Distant Microphone

Experimentation with adaptation and decoding with the above setup led to the following decoding strategy, where second- and thirdpass models were adapted with model-space and feature-space MLLR using the hypothesis generated in the preceeding step. A single decoding pass takes less then 5 RTF on a 3GHz Pentium4 machine, memory consumption is typically 250Mb when ignoring the footprint of cached audio data.

- PLAIN Merge-and-Split training followed by Viterbi (2i) on the close-talking data only, no VTLN
- **SAT/CAT-noVTLN**  $\equiv$  PLAIN with extra SAT/ CAT Viterbi (4i) training on the distant data, no VTLN
  - **SAT/CAT**  $\equiv$  SAT/CAT-noVTLN, but trained with VTLN

CNC Confusion Network Combination

Models	Segmentation		
(% WER)	Manual	Automatic	
PLAIN	59.5	60.8	
SAT/CAT-noVTLN	53.2	55.2	
SAT/CAT	48.9	53.1	
CNC	47.8	51.5	

 Table 4. Decoding results on the RT-04S development set, SDM condition, CNC is between the last two passes.

Confusion Networks were generated from the union of different lattices, where confidences were computed separately on the individual lattices after pruning. Here, we are combining lattices from the last two decoding passes.

To reduce the effects of the noise on distant channels, we conducted experiments with Wiener filtering for noise reduction as in [3]. We observed improvements only for particular combinations of channel and acoustic model, but not for the overall system, particularly when the acoustic models were trained on distant data.

#### 4.3. Multiple Distant Microphone (MDM) Condition

The decoding and adaptation strategy for the MDM condition used the same models and the same decoding setup as the SDM case. To combine the information from several channels, two approaches, Confusion Network Combination and Array Processing, were tried.

#### 4.3.1. Confusion Network Combination

CNC was performed over all channels processed in the adapted steps, the results are summarized in table 5. Note the poor performance of VTLN models in the automatic segmentation case.

Models	Segmentation			
	Manual	Automatic		
PLAIN	53.4 (59.8)	54.4 (60.8)		
SAT/CAT-noVTLN	46.6 (50.7)	48.5 (51.9)		
SAT/CAT.8+10ms	43.3 (47.7)	45.5 (51.5)		
CNC	42.8	45.0		

**Table 5**. Decoding results (%WER) on the RT-04S development set, MDM condition; the number in brackets is the performance of a single channel without CNC.

Computing and combining Confusion Networks at the initial 60% word error rate immediately reduces word error rate by more than 10% relative over the whole data set, which includes 25% data with only one channel (CMU). The possibility to adapt on this hypothesis leads to a gain of approximately 1.5% absolute in single-channel word error rate for the SAT/CAT pass. The gain is more pronounced for the automatic segmentation case. If we apply CNC to lattices from the final pass only, the word error rate is 0.3% higher on average.

#### 4.3.2. Array Processing

To reduce the computational load incurred by decoding every distant channel separately and combining the output at the word level only, we also investigated array processing of the input waveforms to improve the quality of the audio signal. Every site however recorded data differently and none used a proper microphone array, instead, meetings were recorded with several table-top microphones and (directed) room microphones. In our experiments, no information whatsoever on microphone location and characteristics was given or "guesstimated" from the data.

Segmentation	M	anual	Automatic		
Pass	PLAIN	PLAIN   SAT/CAT   H		SAT/CAT	
ICSI (4 ch.)	32.8	26.2	33.9	29.3	
LDC ( $\leq 8$ ch.)	60.6	53.7	62.5	54.1	
NIST ( $\leq$ 7 ch.)	52.1	46.3	53.5	51.8	
Total (incl. CMU)	50.0	44.4	52.0	47.1	

**Table 6.** Word error rates (in %) with array processing. CMU onlyhas one channel, LDC and NIST use a variable number of channels(RT-04S development set).

For array processing, we performed delay-and-sum beamforming on the available channels. The delays were estimated using cross-correlation between channels. To reduce the effect of correlated noise and room reverberation, we applied Gnn subtraction [10] during the delay estimation step. Due to the large dynamic range of the input signal, we however generated the background model to be subtracted from the correlation spectrum not on silence, but as a smoothed function of itself (-1.1% abs.). Also, as the microphones in the LDC and NIST part of the data exhibited a large variability, the combination includes only those channels that improved the predicted signal-to-noise ratio of the output signal (-1.0% on subset). The models we used for decoding the beamformed audio were the same as for the CNC experiments as adaptation was performed on individual channels, not the beam-formed signal, as described in [3].

# 4.4. Summary

Array Processing lends itself to building fast systems. For the system using automatic segmentation on the development data, it reduces WER to 52.0% in the initial pass, while confusion network combination only delivers 54.4%. For the adapted passes however, confusion network combination works better (45.0% vs 47.1%), particularly as it can still include information from earlier passes. To set a lower bound on the single-channel word error rate, we conducted a cheating experiment in which we selected ex-post the best channel for each speaker. This resulted in a WER of 54.8% for the unadapted models/ automatic segmentation case, i.e. both methods perform better then an oracle deciding which single microphone to use for a particular speaker.

%WER	SDM		MDM/CNC		MDM/AP	
Segm.:	Man.	Auto.	Man.	Auto.	Man.	Auto.
CMU	59.8	63.4	60.7	62.9	60.7	62.9
ICSI	32.5	36.5	27.5	30.1	26.2	29.3
LDC	52.9	56.3	48.1	48.9	53.7	54.1
NIST	57.0	60.7	44.5	47.9	46.3	51.8
Overall	47.8	51.5	42.8	45.0	44.4	47.1

**Table 7.** WER for RT-04S development data broken down to sites: CMU is most difficult in all conditions sue to its spontaneous speech and the availability of one distant channel only. ICSI data is relatively "easy".

To further improve system performance for the distant microphone case, we tried adapting our recognizer to whole meetings (generally longer than 60 minutes) instead of only the evaluation part. Presumably due to the quality of the automatic segmentation, this did not lead to a gain in performance. Unfortunately, the whole meetings have not yet been manually segmented.

# 5. CONCLUSION

ISL's primary "sttul" submissions to the NIST's RT-04S "Meeting" evaluation as presented in this paper gave excellent results and reached a word error rates of 35.7%, 49.8%, and 44.9% for the IHM, SDM, and MDM conditions respectively on the evaluation set.

For channel combination in distant speech recognition, we compared Confusion Network Combination with Array Processing. The results indicate that fast, single pass systems should use Array Processing, while for multi-pass systems Confusion Network Combination is a robust alternative requiring no information about number and position of microphones etc.

We are already using an improved version of the "SDM" SAT/CATno-VTLN system for realtime speaker-independent topic spotting around an "augmented table". As keywords appear frequently and repeatedly in this application, cross-talk is not such a significant problem here. Distant speech "Meeting" recognition, and the problems it poses in the areas of segmentation and clustering, robust preprocessing, acoustic modeling, and channel combination, as well as language modeling and natural language processing however remains a challenging task for future research.

#### 6. ACKNOWLEDGEMENTS

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